

**SUPPLEMENT TO  
FINANCIAL AND OPERATIONAL COMBINED UNIFORM SINGLE REPORT  
PART II**

<b>BROKER OR DEALER:</b>	NatWest Markets Securities Inc.	as of: 03/31/2023
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**STATEMENT OF SEGREGATION REQUIREMENTS AND FUNDS IN SEGREGATION  
FOR CUSTOMERS TRADING ON U.S. COMMODITY EXCHANGES**

**SEGREGATION REQUIREMENTS**

1.	Net ledger balance			
	A. Cash	\$ 0	7010	
	B. Securities (at market)	0	7020	
2.	Net unrealized profit (loss) in open futures contracts traded on a contract market	0	7030	
3.	Exchange traded options			
	A. Add: Market value of open option contracts purchased on a contract market	0	7032	
	B. Deduct Market value of open option contracts granted (sold) on a contract market	0	7033	
4.	Net equity (deficit) (total of Lines 1, 2 and 3)	0	7040	
5.	Accounts liquidating to a deficit and accounts with debit balances - gross amount	0	7045	
	Less: amount offset by customer owned securities	0	7047	0
				7050
6.	Amount required to be segregated (add Lines 4 and 5)	\$ 0	7060	

**FUNDS IN SEGREGATED ACCOUNTS**

7.	Deposited in segregated funds bank accounts			
	A. Cash	7,501,425	7070	
	B. Securities representing investments of customers' funds (at market)	0	7080	
	C. Securities held for particular customers or option customers in lieu of cash (at market)	0	7090	
8.	Margin on deposit with derivatives clearing organizations of contract markets			
	A. Cash	0	7100	
	B. Securities representing investments of customers' funds (at market)	0	7110	
	C. Securities held for particular customers or option customers in lieu of cash (at market)	0	7120	
9.	Net settlement from (to) derivatives clearing organizations of contract markets	0	7130	
10.	Exchange traded options			
	A. Value of open long option contracts	0	7132	
	B. Value of open short option contracts	0	7133	
11.	Net equities with other FCMs			
	A. Net liquidating equity	0	7140	
	B. Securities representing investments of customers' funds (at market)	0	7160	
	C. Securities held for particular customers or option customers in lieu of cash (at market)	0	7170	
12.	Segregated funds on hand (describe: )	0	7150	
13.	Total amount in segregation (add Lines 7 through 12)	7,501,425	7180	
14.	Excess (deficiency) funds in segregation (subtract Line 6 from Line 13)	\$ 7,501,425	7190	
15.	Management target amount for excess funds in segregation	5,000,000	7194	
16.	Excess (deficiency) funds in segregation over (under) management target amount excess	2,501,425	7198	

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**STATEMENT OF CLEARED SWAPS SEGREGATION REQUIREMENTS AND  
FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA**

**Cleared Swaps Customer Requirements**

1.	Net ledger balance			
	A. Cash	\$ 0	8500	
	B. Securities (at market)	0	8510	
2.	Net unrealized profit (loss) in open cleared swaps	0	8520	
3.	Cleared swaps options			
	A. Market value of open cleared swaps option contracts purchased	0	8530	
	B. Market value of open cleared swaps option contracts granted (sold)	0	8540	
4.	Net equity (deficit) (add lines 1, 2 and 3)	0	8550	
5.	Accounts liquidating to a deficit and accounts with debit balances			
	- gross amount	\$ 0	8560	
	Less: amount offset by customer owned securities	0	8570	
6.	Amount required to be segregated for cleared swaps customers (add lines 4 and 5)		0	8590

**Funds in Cleared Swaps Customer Segregated Accounts**

7.	Deposited in cleared swaps customer segregated accounts at banks			
	A. Cash	\$ 0	8600	
	B. Securities representing investments of cleared swaps customers' funds (at market)	0	8610	
	C. Securities held for particular cleared swaps customers in lieu of cash (at market)	0	8620	
8.	Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts			
	A. Cash	0	8630	
	B. Securities representing investments of cleared swaps customers' funds (at market)	0	8640	
	C. Securities held for particular cleared swaps customers in lieu of cash (at market)	0	8650	
9.	Net settlement from (to) derivatives clearing organizations	0	8660	
10.	Cleared swaps options			
	A. Value of open cleared swaps long option contracts	0	8670	
	B. Value of open cleared swaps short option contracts	0	8680	
11.	Net equities with other FCMs			
	A. Net liquidating equity	0	8690	
	B. Securities representing investments of cleared swaps customers' funds (at market)	0	8700	
	C. Securities held for particular cleared swaps customers in lieu of cash (at market)	0	8710	
12.	Cleared swaps customer funds on hand (describe: )	0	8715	
13.	Total amount in cleared swaps customer segregation (add lines 7 through 12)	0	8720	
14.	Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13)	\$ 0	8730	
15.	Management target Amount for Excess funds in cleared swaps segregated accounts	\$ 0	8760	
16.	Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) management target excess	\$ 0	8770	

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**STATEMENT OF SEGREGATION REQUIREMENTS AND FUNDS IN SEGREGATION  
FOR CUSTOMERS' DEALER OPTIONS ACCOUNTS**

1. Amount required to be segregated in accordance with 17 CFR 32.6		\$ 0	7200
2. Funds/property in segregated accounts			
A. Cash	\$ 0		7210
B. Securities (at market value)	0		7220
C. Total funds/property in segregated accounts		0	7230
3. Excess (deficiency) funds in segregation (subtract Line 2C from Line 1)		\$ 0	7240

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**STATEMENT OF SECURED AMOUNTS AND FUNDS HELD IN SEPARATE ACCOUNTS  
PURSUANT TO COMMISSION REGULATION 30.7**

**FOREIGN FUTURES AND FOREIGN OPTIONS SECURED AMOUNTS**

Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder		\$ 0	7305
1. Net ledger balance - Foreign futures and foreign options trading - All customers			
A. Cash		\$ 0	7315
B. Securities (at market)		\$ 0	7317
2. Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade		\$ 0	7325
3. Exchange traded options			
A. Market value of open option contracts purchased on a foreign board of trade		\$ 0	7335
B. Market value of open option contracts granted (sold) on a foreign board of trade		\$ 0	7337
4. Net equity (deficit) (add Lines 1, 2, and 3)		\$ 0	7345
5. Accounts liquidating to a deficit and accounts with debit balances - gross amount	\$ 0		7351
Less: Amount offset by customer owned securities	\$ 0		7352
6. Amount required to be set aside as the secured amount - Net liquidating equity method (add Lines 4 and 5)		\$ 0	7355
7. Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or Line 6.		\$ 0	7360

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**STATEMENT OF SECURED AMOUNTS AND FUNDS HELD IN SEPARATE ACCOUNTS  
PURSUANT TO COMMISSION REGULATION 30.7**

**FUNDS DEPOSITED IN SEPARATE 17 CFR. 30.7 ACCOUNTS**

1. Cash in banks		
A. Banks located in the United States	\$ 0	7500
B. Other banks qualified under 17 CFR. 30.7		
Name(s): _	0	7520
	\$ 0	7530
2. Securities		
A. In safekeeping with banks located in the United States	\$ 0	7540
B. In safekeeping with other banks designated by 17 CFR. 30.7		
Name(s): _	0	7560
	0	7570
3. Equities with registered futures commission merchants		
A. Cash	\$ 0	7580
B. Securities	0	7590
C. Unrealized gain (loss) on open futures contracts	0	7600
D. Value of long option contracts	0	7610
E. Value of short option contracts	0	7615
	0	7620
4. Amounts held by clearing organizations of foreign boards of trade		
Name(s): _		7630
A. Cash	\$ 0	7640
B. Securities	0	7650
C. Amount due to (from) clearing organization - daily variation	0	7660
D. Value of long option contracts	0	7670
E. Value of short option contracts	0	7675
	0	7680
5. Amounts held by members of foreign boards of trade		
Name(s): _		7690
A. Cash	\$ 0	7700
B. Securities	0	7710
C. Unrealized gain (loss) on open futures contracts	0	7720
D. Value of long option contracts	0	7730
E. Value of short option contracts	0	7735
	0	7740
6. Amounts with other depositories designated by a foreign board of trade		
Name(s): _		7750
	0	7760
7. Segregated funds on hand (describe): _		
	0	7765
8. Total funds in separate 17 CFR 30.7 accounts		
	\$ 0	7770
9. Excess (deficiency) set aside funds for secured amount (Line Item 7770 minus Line Item 7360)		
	0	7380
10. Management target amount for excess funds in separate 17 CFR 30.7 accounts		
	0	7780
11. Excess (deficiency) funds in separate 17 CFR 30.7 accounts over (under) management target excess		
	0	7785