

**SUPPLEMENT TO
FINANCIAL AND OPERATIONAL COMBINED UNIFORM SINGLE REPORT
PART II**

BROKER OR DEALER:

NATWEST MARKETS SECURITIES INC.

as of: 07/31/2023

**STATEMENT OF SEGREGATION REQUIREMENTS AND FUNDS IN SEGREGATION
FOR CUSTOMERS TRADING ON U.S. COMMODITY EXCHANGES**

SEGREGATION REQUIREMENTS

1. Net ledger balance				
A. Cash			\$ 0	7010
B. Securities (at market)			0	7020
2. Net unrealized profit (loss) in open futures contracts traded on a contract market			0	7030
3. Exchange traded options				
A. Add: Market value of open option contracts purchased on a contract market			0	7032
B. Deduct Market value of open option contracts granted (sold) on a contract market			0	7033
4. Net equity (deficit) (total of Lines 1, 2 and 3)			0	7040
5. Accounts liquidating to a deficit and accounts with debit balances - gross amount	0	7045		
Less: amount offset by customer owned securities	0	7047	0	7050
6. Amount required to be segregated (add Lines 4 and 5)			\$ 0	7060

FUNDS IN SEGREGATED ACCOUNTS

7. Deposited in segregated funds bank accounts				
A. Cash			7,503,655	7070
B. Securities representing investments of customers' funds (at market)			0	7080
C. Securities held for particular customers or option customers in lieu of cash (at market)			0	7090
8. Margin on deposit with derivatives clearing organizations of contract markets				
A. Cash			0	7100
B. Securities representing investments of customers' funds (at market)			0	7110
C. Securities held for particular customers or option customers in lieu of cash (at market)			0	7120
9. Net settlement from (to) derivatives clearing organizations of contract markets			0	7130
10. Exchange traded options				
A. Value of open long option contracts			0	7132
B. Value of open short option contracts			0	7133
11. Net equities with other FCMs				
A. Net liquidating equity			0	7140
B. Securities representing investments of customers' funds (at market)			0	7160
C. Securities held for particular customers or option customers in lieu of cash (at market)			0	7170
12. Segregated funds on hand (describe:)			0	7150
13. Total amount in segregation (add Lines 7 through 12)			7,503,655	7180
14. Excess (deficiency) funds in segregation (subtract Line 6 from Line 13)			\$ 7,503,655	7190
15. Management target amount for excess funds in segregation			5,000,000	7194
16. Excess (deficiency) funds in segregation over (under) management target amount excess			2,503,655	7198

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**STATEMENT OF CLEARED SWAPS SEGREGATION REQUIREMENTS AND
FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA**

Cleared Swaps Customer Requirements

1. Net ledger balance				
A. Cash			\$ 0	8500
B. Securities (at market)			0	8510
2. Net unrealized profit (loss) in open cleared swaps			0	8520
3. Cleared swaps options				
A. Market value of open cleared swaps option contracts purchased			0	8530
B. Market value of open cleared swaps option contracts granted (sold)			0	8540
4. Net equity (deficit) (add lines 1, 2 and 3)			0	8550
5. Accounts liquidating to a deficit and accounts with debit balances				
- gross amount	\$ 0	8560		
Less: amount offset by customer owned securities	0	8570	0	8580
6. Amount required to be segregated for cleared swaps customers (add lines 4 and 5)			0	8590

Funds in Cleared Swaps Customer Segregated Accounts

7. Deposited in cleared swaps customer segregated accounts at banks				
A. Cash			\$ 0	8600
B. Securities representing investments of cleared swaps customers' funds (at market)			0	8610
C. Securities held for particular cleared swaps customers in lieu of cash (at market)			0	8620
8. Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts				
A. Cash			0	8630
B. Securities representing investments of cleared swaps customers' funds (at market)			0	8640
C. Securities held for particular cleared swaps customers in lieu of cash (at market)			0	8650
9. Net settlement from (to) derivatives clearing organizations			0	8660
10. Cleared swaps options				
A. Value of open cleared swaps long option contracts			0	8670
B. Value of open cleared swaps short option contracts			0	8680
11. Net equities with other FCMs				
A. Net liquidating equity			0	8690
B. Securities representing investments of cleared swaps customers' funds (at market)			0	8700
C. Securities held for particular cleared swaps customers in lieu of cash (at market)			0	8710
12. Cleared swaps customer funds on hand (describe:)			0	8715
13. Total amount in cleared swaps customer segregation (add lines 7 through 12)			0	8720
14. Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13)			\$ 0	8730
15. Management target Amount for Excess funds in cleared swaps segregated accounts			\$ 0	8760
16. Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) management target excess			\$ 0	8770

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**STATEMENT OF SEGREGATION REQUIREMENTS AND FUNDS IN SEGREGATION
FOR CUSTOMERS' DEALER OPTIONS ACCOUNTS**

1.	Amount required to be segregated in accordance with 17 CFR 32.6	\$ 0	7200
2.	Funds/property in segregated accounts		
	A. Cash	\$ 0	7210
	B. Securities (at market value)	0	7220
	C. Total funds/property in segregated accounts	0	7230
3.	Excess (deficiency) funds in segregation (subtract Line 2C from Line 1)	\$ 0	7240

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**STATEMENT OF SECURED AMOUNTS AND FUNDS HELD IN SEPARATE ACCOUNTS
PURSUANT TO COMMISSION REGULATION 30.7**

FOREIGN FUTURES AND FOREIGN OPTIONS SECURED AMOUNTS

	Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder	\$ 0	7305
1.	Net ledger balance - Foreign futures and foreign options trading - All customers		
	A. Cash	\$ 0	7315
	B. Securities (at market)	\$ 0	7317
2.	Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade	\$ 0	7325
3.	Exchange traded options		
	A. Market value of open option contracts purchased on a foreign board of trade	\$ 0	7335
	B. Market value of open option contracts granted (sold) on a foreign board of trade	\$ 0	7337
4.	Net equity (deficit) (add Lines 1, 2, and 3)	\$ 0	7345
5.	Accounts liquidating to a deficit and accounts with debit balances - gross amount	\$ 0	7351
	Less: Amount offset by customer owned securities	\$ 0	7352
6.	Amount required to be set aside as the secured amount - Net liquidating equity method (add Lines 4 and 5)	\$ 0	7355
7.	Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or Line 6.	\$ 0	7360

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**STATEMENT OF SECURED AMOUNTS AND FUNDS HELD IN SEPARATE ACCOUNTS
PURSUANT TO COMMISSION REGULATION 30.7**

FUNDS DEPOSITED IN SEPARATE 17 CFR. 30.7 ACCOUNTS

1. Cash in banks				
A. Banks located in the United States		\$ 0	7500	
B. Other banks qualified under 17 CFR. 30.7				
Name(s): _	7510	0	7520	\$ 0
				7530
2. Securities				
A. In safekeeping with banks located in the United States		\$ 0	7540	
B. In safekeeping with other banks designated by 17 CFR. 30.7				
Name(s): _	7550	0	7560	0
				7570
3. Equities with registered futures commission merchants				
A. Cash		\$ 0	7580	
B. Securities		0	7590	
C. Unrealized gain (loss) on open futures contracts		0	7600	
D. Value of long option contracts		0	7610	
E. Value of short option contracts		0	7615	0
				7620
4. Amounts held by clearing organizations of foreign boards of trade				
Name(s): _	7630			
A. Cash		\$ 0	7640	
B. Securities		0	7650	
C. Amount due to (from) clearing organization - daily variation		0	7660	
D. Value of long option contracts		0	7670	
E. Value of short option contracts		0	7675	0
				7680
5. Amounts held by members of foreign boards of trade				
Name(s): _	7690			
A. Cash		\$ 0	7700	
B. Securities		0	7710	
C. Unrealized gain (loss) on open futures contracts		0	7720	
D. Value of long option contracts		0	7730	
E. Value of short option contracts		0	7735	0
				7740
6. Amounts with other depositories designated by a foreign board of trade				
Name(s): _	7750			0
				7760
7. Segregated funds on hand (describe): _				0
				7765
8. Total funds in separate 17 CFR 30.7 accounts				\$ 0
				7770
9. Excess (deficiency) set aside funds for secured amount (Line Item 7770 minus Line Item 7360)				0
				7380
10. Management target amount for excess funds in separate 17 CFR 30.7 accounts				0
				7780
11. Excess (deficiency) funds in separate 17 CFR 30.7 accounts over (under) management target excess				0
				7785