

NatWest Markets Securities Inc.
Summary Schedule of Selected Capital Information
Pursuant to CFTC Regulation 1.55(o)(1)(iv)

<u>Month Ended</u>	<u>Tentative Net Capital</u>	<u>Net Capital</u>	<u>Excess Net Capital</u>
February 29, 2024	<u>\$615,962,176</u>	<u>\$591,801,585</u>	<u>\$590,725,351</u>
January 31, 2024	<u>\$624,784,804</u>	<u>\$591,762,507</u>	<u>\$588,914,897</u>
December 31, 2023	<u>\$618,639,896</u>	<u>\$613,800,462</u>	<u>\$610,985,792</u>
November 30, 2023	<u>\$610,081,658</u>	<u>\$584,537,239</u>	<u>\$579,675,028</u>
October 31, 2023	<u>\$583,432,961</u>	<u>\$549,170,161</u>	<u>\$547,557,331</u>
September 30, 2023	<u>\$593,651,024</u>	<u>\$560,332,889</u>	<u>\$557,440,785</u>
August 31, 2023	<u>\$616,829,659</u>	<u>\$580,066,640</u>	<u>\$577,968,931</u>
July 31, 2023	<u>\$628,043,476</u>	<u>\$591,650,429</u>	<u>\$590,535,676</u>
June 30, 2023	<u>\$617,645,272</u>	<u>\$581,164,728</u>	<u>\$580,033,810</u>
May 31, 2023	<u>\$563,710,406</u>	<u>\$521,101,095</u>	<u>\$519,966,320</u>
April 28, 2023	<u>\$565,952,312</u>	<u>\$525,508,132</u>	<u>\$523,439,724</u>
March 31, 2023	<u>\$564,896,059</u>	<u>\$538,165,080</u>	<u>\$537,020,261</u>