

NatWest Markets Securities Inc.
Summary Schedule of Selected Capital Information
Pursuant to CFTC Regulation 1.55(o)(1)(iv)

| <u>Month Ended</u> | <u>Tentative Net Capital</u> | <u>Net Capital</u> | <u>Excess Net Capital</u> |
|--------------------|------------------------------|----------------------|---------------------------|
| December 31, 2024 | <u>\$645,425,790</u> | <u>\$628,238,649</u> | <u>\$627,174,574</u> |
| November 30, 2024 | <u>\$636,548,522</u> | <u>\$611,363,512</u> | <u>\$609,923,515</u> |
| October 31, 2024 | <u>\$637,378,665</u> | <u>\$581,171,318</u> | <u>\$580,159,274</u> |
| September 30, 2024 | <u>\$638,054,913</u> | <u>\$600,662,179</u> | <u>\$592,530,216</u> |
| August 31, 2024 | <u>\$617,256,749</u> | <u>\$535,605,189</u> | <u>\$530,834,303</u> |
| July 31, 2024 | <u>\$631,263,403</u> | <u>\$599,990,620</u> | <u>\$597,825,113</u> |
| June 30, 2024 | <u>\$602,312,885</u> | <u>\$560,936,432</u> | <u>\$559,728,613</u> |
| May 31, 2024 | <u>\$630,081,748</u> | <u>\$554,350,291</u> | <u>\$552,892,417</u> |
| April 30, 2024 | <u>\$639,909,532</u> | <u>\$603,660,481</u> | <u>\$601,833,811</u> |
| March 31, 2024 | <u>\$645,515,452</u> | <u>\$612,647,045</u> | <u>\$610,538,619</u> |
| February 29, 2024 | <u>\$615,962,176</u> | <u>\$591,801,585</u> | <u>\$590,725,351</u> |
| January 31, 2024 | <u>\$624,784,804</u> | <u>\$591,762,507</u> | <u>\$588,914,897</u> |