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INITIAL End Date:9/17/2024 Firm Name:NatWest Markets Securities Inc. Form:Daily Seg - FOCUS II Submit Date:9/18/2024 INITIAL End Date:9/17/2024 Firm Name:NatWest Markets Securities Inc. Form:Daily Seg - FOCUS II Submit Date:9/18/2024 Daily Segregation - Cover Page

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FCM's Customer Segregated Funds Residual Interest Target (choose one):	
a. Minimum dollar amount: ; or	<u>5,000,000</u>
b. Minimum percentage of customer segregated funds required:%; or	<u>0</u>
c. Dollar amount range between:and; or	<u>0</u> 0
d. Percentage range of customer segregated funds required between:% and%.	<u>0</u> 0
FCM's Customer Secured Amount Funds Residual Interest Target (choose one):	
a. Minimum dollar amount: ;or	<u>0</u>
b. Minimum percentage of customer secured funds required:%; or	<u>0</u>
c. Dollar amount range between:and; or	<u>o o</u>
d. Percentage range of customer secured funds required between:% and%.	<u>0 0</u>
FCM's Cleared Swaps Customer Collateral Residual Interest Target (choose one):	
a. Minimum dollar amount: ;or	<u>0</u>
b. Minimum percentage of cleared swaps customer collateral required:% ; or	<u>0</u>
c. Dollar amount range between:and; or	<u>0 0</u>
d. Percentage range of cleared swaps customer collateral required between:% and%.	<u>0 0</u>

Attach supporting documents CH

INITIAL End Date:9/17/2024 Firm Name:NatWest Markets Securities Inc. Form:Daily Seg - FOCUS II Submit Date:9/18/2024 Daily Segregation - Secured Amounts

Foreign Futures and Foreign Options Secured Amounts (7305) government or a rule of a self-regulatory organization authorized thereunder (7315) government or a rule of a self-regulatory organization authorized thereunder	Dai	iy Segregation - Secured Amounts	
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 E. Value of short option contracts Q [7615] Q [7620] 4. Amounts held by clearing organizations of foreign boards of trade A. Cash B. Securities Q [7650] C. Amount due to (from) clearing organization - daily variation D [7660] D. Value of long option contracts E. Value of short option contracts Q [7670] E. Value of short option contracts Q [7675] Q [7680] 5. Amounts held by members of foreign boards of trade A. Cash A. Cash Q [7770] B. Securities Q [7770] B. Securities Q [7770] C. Unrealized gain (loss) on open futures contracts Q [7730] E. Value of short option contracts Q [7730] E. Value of short option contracts Q [7730] C. Unrealized gain (loss) on open futures contracts Q [7730] E. Value of short option contracts Q [7730] E. Value of short option contracts Q [7750] 6. Amounts with other depositories designated by a foreign board of trade Q [7765] 8. Total funds in separate section 30.7 accounts Q [7770] 9. Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8) 10. Management Target Amount for Excess funds in separate section 30.7 accounts Q [7780] 		C. Unrealized gain (loss) on open futures contracts	<u>0</u> [7600]
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A. CashQ [7640]B. SecuritiesQ [7650]C. Amount due to (from) clearing organization - daily variationQ [7660]D. Value of long option contractsQ [7670]E. Value of short option contractsQ [7675] Q [7680]5.Amounts held by members of foreign boards of tradeQ [7700]A. CashQ [7700]B. SecuritiesQ [7710]C. Unrealized gain (loss) on open futures contractsQ [7720]D. Value of long option contractsQ [7730]E. Value of short option contractsQ [7730]F. Value of short option contractsQ [7730]G. Amounts with other depositories designated by a foreign board of tradeQ [7760]7. Segregated funds on handQ [7765]8. Total funds in separate section 30.7 accountsQ [7770]9. Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8)Q [7780]10. Management Target Amount for Excess funds in separate section 30.7 accountsQ [7780]		E. Value of short option contracts	<u>0</u> [7615] <u>0</u> [7620]
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Page 1 from Line 8) 10. Management Target Amount for Excess funds in separate section 30.7 accounts 0 [7780]			
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INITIAL End Date:9/17/2024 Firm Name:NatWest Markets Securities Inc.

Form:Daily Seg - FOCUS II

Submit Date:9/18/2024

Daily Segregation - Segregation Statement

SEGREGATION REQUIREMENTS(Section 4d(2) of the CEAct)

	SEGREGATION REQUIREMENTS(Section 4d(2) of the CEACI)	
1.	Net ledger balance	
	A. Cash	<u>0</u> [7010]
	B. Securities (at market)	<u>0</u> [7020]
2.	Net unrealized profit (loss) in open futures contracts traded on a contract market	<u>0</u> [7030]
3.	Exchange traded options	
	A. Add market value of open option contracts purchased on a contract market	<u>0</u> [7032]
	B. Deduct market value of open option contracts granted (sold) on a contract market	<u>0</u> [7033]
4.	Net equity (deficit) (add lines 1, 2 and 3)	<u>0</u> [7040]
5.	Accounts liquidating to a deficit and accounts with	
	debit balances - gross amount	<u>0</u> [7045]
	Less: amount offset by customer securities	<u>0</u> [7047] <u>0</u> [7050]
6.	Amount required to be segregated (add lines 4 and 5)	<u>0</u> [7060]
	FUNDS IN SEGREGATED ACCOUNTS	
7.	Deposited in segregated funds bank accounts	
	A. Cash	<u>7,159,023</u> [7070]
	B. Securities representing investments of customers' funds (at market)	<u>0</u> [7080]
	C. Securities held for particular customers or option customers in lieu of cash (at	<u>0</u> [7090]
	market)	
8.	Margins on deposit with derivatives clearing organizations of contract markets	
	A. Cash	<u>341,550</u> [7100]
	B. Securities representing investments of customers' funds (at market)	<u>0</u> [7110]
	C. Securities held for particular customers or option customers in lieu of cash (at	<u>0</u> [7120]
	market)	
9.	Net settlement from (to) derivatives clearing organizations of contract markets	<u>0</u> [7130]
10.	Exchange traded options	
	A. Value of open long option contracts	<u>0</u> [7132]
	B. Value of open short option contracts	<u>0</u> [7133]
11.	Net equities with other FCMs	
	A. Net liquidating equity	<u>0</u> [7140]
	B. Securities representing investments of customers' funds (at market)	<u>0</u> [7160]
	C. Securities held for particular customers or option customers in lieu of cash (at	<u>0</u> [7170]
10	market)	
12.	Segregated funds on hand	<u>0</u> [7150]
13.	Total amount in segregation (add lines 7 through 12)	<u>7,500,573</u> [7180]
14.	Excess (deficiency) funds in segregation (subtract line 6 from line 13)	<u>7,500,573</u> [7190]
15.	Management Target Amount for Excess funds in segregation	<u>5,000,000</u> [7194]
16.	Excess (deficiency) funds in segregation over (under) Management Target Amount	<u>2,500,573</u> [7198]
	Excess	

INITIAL End Date:9/17/2024 Firm Name:NatWest Markets Securities Inc. Form:Daily Seg - FOCUS II Submit Date:9/18/2024 Daily Segregation - Swaps Statement

AND

	FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA	
	Cleared Swaps Customer Requirements	
1.	Net ledger balance	
	A. Cash	<u>0</u> [8500]
	B. Securities (at market)	<u>0</u> [8510]
2.	Net unrealized profit (loss) in open cleared swaps	<u>0</u> [8520]
3.	Cleared swaps options	
	A. Market value of open cleared swaps option contracts purchased	<u>0</u> [8530]
	B. Market value of open cleared swaps option contracts granted (sold)	<u>0</u> [8540]
4.	Net Equity (deficit) (add lines 1, 2, and 3)	<u>0</u> [8550]
5.	Accounts liquidating to a deficit and accounts with	
	debit balances - gross amount	<u>0</u> [8560]
	Less: amount offset by customer owned securities	<u>0</u> [8570] <u>0</u> [8580]
6.	Amount required to be segregated for cleared swaps customers (add lines 4 and 5)	<u>0</u> [8590]
	Funds in Cleared Swaps Customer Segregated Accounts	
7.	Deposited in cleared swaps customer segregated accounts at banks	
	A. Cash	<u>0</u> [8600]
	B. Securities representing investments of cleared swaps customers' funds (at market)	<u>0</u> [8610]
0	C. Securities held for particular cleared swaps customers in lieu of cash (at market)	<u>0</u> [8620]
8.	Margins on deposit with derivatives clearing organizations in cleared swaps customer	
	segregated accounts A. Cash	<u>0</u> [8630]
	B. Securities representing investments of cleared swaps customers' funds (at market)	<u>o</u> [8640]
	C. Securities held for particular cleared swaps customers in lieu of cash (at market)	<u>o</u> [8650]
9.	Net settlement from (to) derivatives clearing organizations	<u>o</u> [8660]
10.	Cleared swaps options	
	A. Value of open cleared swaps long option contracts	<u>0</u> [8670]
	B. Value of open cleared swaps short option contracts	<u>0</u> [8680]
11.	Net equities with other FCMs	
	A. Net liquidating equity	<u>0</u> [8690]
	B. Securities representing investments of cleared swaps customers' funds (at market)	<u>0</u> [8700]
	C. Securities held for particular cleared swaps customers in lieu of cash (at market)	<u>0</u> [8710]
12.	Cleared swaps customer funds on hand	
	A. Cash	<u>0</u>
	B. Securities representing investments of cleared swaps customers' funds (at market)	<u>0</u>
	C. Securities held for particular cleared swaps customers in lieu of cash (at market)	<u>0</u> 0 [8715]
13.	Total amount in cleared swaps customer segregation (add lines 7 through 12)	<u>0</u> [8720]
14.	Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from	<u>0</u> [8730]
15	line 13)	0 [0700]
15. 16.	Management Target Amount for Excess funds in cleared swaps segregated accounts	<u>0</u> [8760]
10.	Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management	<u>0</u> [8770]
	manayonion	

STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS